



CITES 2013

Results of Working Documents

discussed at the 16th Conference of the Parties to CITES, Bangkok, Thailand, 3 – 14 March 2013, prepared by the Species Survival Network

Abbreviations used: RC=Resolution Conf. • CoP=Conference of the Parties • SC=Standing Committee • AC=Animals Committee • PC=Plants Committee
WG=Working Group • EU=European Union • Documents prepared by CITES Secretariat unless otherwise noted.

DOCUMENT		RESULT
4. Rules of Procedure		
4.1	Report of the Secretariat CoP16 Doc. 4.1 (Rev. 1)	Adopted changes to the Rules of Procedure including, <i>inter alia</i> , procedures for considering proposal amendments and, two or more proposals that relate to the same taxon. A proposal to shorten the period for submission of documents from 150 to 120 days prior to CoP was withdrawn.
4.2	Proposal to improve transparency of voting during meetings of the Conference of the Parties CoP16 Doc. 4.2 (Rev. 1)	Prepared by Denmark on behalf of the EU. Rejected, by secret ballot, an amended proposal from Colombia that a secret ballot require the support of 40 Parties to take place: 67 for, 60 against, 4 abstentions. Rejected, by secret ballot, original proposal that a vote for a secret ballot be approved by a simple majority: 62 for, 62 against, 5 abstentions.
4.3	Proposed amendment to Rule 25 on <i>Methods of voting</i> – Use of secret ballots CoP16 Doc. 4.3 (Rev. 1)	Prepared by Chile and Mexico. Rejected, by secret ballot, amended proposal from the USA to require that a request for a secret ballot be seconded by 25 Parties: 41 for, 91 against, 1 abstention Rejected, by secret ballot, portion of original proposal requiring that a request for a secret ballot be seconded by one third of Representatives: 66 for, 64 against, 2 abstentions Rejected, by secret ballot, portion of original proposal stating that “The vote on the motion for a secret ballot shall not proceed through secret ballot”: 67 for, 50 against, 11 abstentions
7. Report of UNEP CoP16 Doc. 7 (Rev. 2)		Prepared by UNEP. Noted the report.

DOCUMENT		RESULT
8. Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties		
8.1	Implementation of the costed programme of work for 2010-2011 CoP16 Doc. 8.1	Accepted the document.
8.2	Implementation of the costed programme of work for 2012 CoP16 Doc. 8.2 (Rev. 1)	Accepted the document.
8.3	Budgetary proposals for 2014 to 2016 CoP16 Doc. 8.3 (Rev. 1)	Adopted a revised Resolution on <i>Financing and the costed programme of work for the Secretariat for the triennium 2014-2016</i> (CoP16 Com. II. 31 (Rev. 1)).
8.4	Access to Global Environment Facility funding CoP16 Doc. 8.4	Adopted a Decision (CoP16 Com. II. 32) that, <i>inter alia</i> , directs the Secretariat to explore a closer working relationship with the GEF and report to the SC on possibility of GEF as a financial mechanism for CITES. References to a MOU with GEF were deleted.
8.5	Access to other sources of funding CoP16 Doc.8.5	Adopted Decisions that, <i>inter alia</i> , direct Secretariat to organize a Wildlife Donor Roundtable. Proposal for “deletion of all Decisions that call on Secretariat to seek external funding for specific activities” was withdrawn.
9. Arrangements for meetings CoP16 Doc. 9 (Rev. 1)		Prepared by Central African Republic and Rwanda. Withdrawn but noted. Secretariat stated that it would draft a document on concerns regarding the organization of special meetings for SC65.
10. Committee Reports and Recommendations CoP16 Docs. 10.1.1 – 10.3.1 (Rev. 1)		SC, AC, PC reports noted.

DOCUMENT	RESULT
11. Potential conflicts of interest in the Animals and Plants Committees CoP16 Doc. 11 (Rev. 1)	Prepared by Denmark on behalf of the EU. Adopted revised amendments to RC 11.1 (Rev. COP15) on <i>Establishment of committees</i> (CoP16 Com. II. 28) that, <i>inter alia</i> , define 'conflict of interest' and, require AC and PC Members to provide a declaration of interest to the Parties in their region and to the SC and related Committee. Adopted Decisions that, <i>inter alia</i> , direct the SC to assess functioning of the conflict of interest policy.
12. CITES Strategic Vision CoP16 Doc. 12	Adopted revised amendments to the CITES Strategic Vision (RC 14.2) (CoP16 Com. II. 4) that include reference to the "relevant Aichi Biodiversity Targets" in the CITES Vision Statement. Did not adopt proposed references to the U.N. Conference on Sustainable Development and the development of a green economy.
13. Cooperation with organizations and multilateral environmental agreements CoP16 Doc. 13	Agreed to delete Decisions 15.18 (regarding the Food and Agriculture Organization of the United Nations (FAO)) and 15.11 (regarding the Biodiversity Indicators Partnership). A draft Decision directing the Secretariat to "undertake a review of activities related to cooperation found in valid Resolutions and Decisions to assess whether and how they could be rationalized and consolidated" was withdrawn.
14. Draft resolution and decision on the cooperation of CITES with other biodiversity-related conventions CoP16 Doc. 14 (Rev. 1)	Prepared by Switzerland. Adopted a Resolution on <i>Cooperation of CITES with other biodiversity-related conventions</i> with minor amendments. Adopted a Decision that directs the SC to explore further options to strengthen cooperation, collaboration and synergies between CITES and the other biodiversity-related conventions and report to CoP17. A Decision directing the Secretariat to undertake this work was not adopted.
15. International Consortium on Combating Wildlife Crime CoP16 Doc. 15 (Rev. 1)	Noted the report on related activities.
16. Resolution on <i>Cooperation with the Global Strategy for Plant Conservation of the CBD</i> (Decision 15.19) CoP16 Doc. 16 (Rev. 1)	Prepared by PC Vice-Chair in collaboration with Mexico. Adopted revised Resolution (CoP16 Com. I. 13) on Cooperation with the Global Strategy for Plant Conservation of the Convention on Biological Diversity that, <i>inter alia</i> , directs the PC and Secretariat to promote CITES collaboration with CBD on the implementation of the GSPC.
17. Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services CoP16 Doc. 17 (Rev. 1)	Prepared by SC WG on IPBES. Adopted revised Decisions (CoP16 Com. I. 4) that, <i>inter alia</i> , directs the SC to establish an intersessional working group on the issue and consider the need for drafting a Resolution which specifically recognizes the relationship between CITES and IPBES. References to a MOU between the Liaison Group of Biodiversity-related Conventions and the IPBES Secretariat were deleted.

DOCUMENT	RESULT
18. Cooperation between Parties and promotion of multilateral measures CoP16 Doc. 18 (Rev. 1)	Prepared by Chair of the SC WG on Multilateral Measures. Agreed to extend Decisions 14.28 and 14.29 that, <i>inter alia</i> , continue the work of the Working Group on Multilateral Measures. Adopted amended Terms of Reference for a study on multilateral measures; deleted reference to analyzing the “appropriateness” of multilateral measures.
19. CITES and livelihoods CoP16 Doc. 19 (Rev. 1)	Prepared by the Chair of SC WG on Livelihoods. Adopted revised Resolution on <i>CITES and livelihoods</i> (CoP16 Com. II. 11 (Rev. 1)). Adopted Decisions (CoP16 Com. II. 11 (Rev. 1)) that, <i>inter alia</i> , direct the SC intersessional working group to continue its work and the Secretariat to publish case studies (not conduct them) and request comments on the related toolkit and guidelines.
20. Wildlife Trade Policy Reviews CoP16 Doc. 20	Adopted Decisions that, <i>inter alia</i> , direct the Secretariat to organize a regional or subregional workshop on reviews and assist Parties undertaking wildlife trade policy reviews.
21. Capacity-building CoP16 Doc. 21	Noted the report. Adopted revised draft Decisions (CoP16 Com. II. 7) that, <i>inter alia</i> , direct the Secretariat to undertake a review of capacity-building activities found in Resolutions and undertake needs assessments and gap analyses to improve CITES capacity-building efforts.
22. Proposal concerning a needs assessment for strengthening the implementation of CITES in developing countries CoP16 Doc. 22 (Rev. 1)	Prepared by Ghana, Senegal and Sierra Leone. Adopted revised Decisions (CoP16 Com. II. 10) that, <i>inter alia</i> , direct the Secretariat to undertake a study on new technologies and to issue a questionnaire on technological, logistical and equipment needs of developing countries; and the SC, based on the results of the questionnaire, to develop a mechanism to assess Parties’ needs.
23. Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species – Report of the Animals and Plants Committees CoP16 Doc. 23 (Rev. 1)	Prepared by AC and PC Chairs with the support of the Co-Chairs of the WG on this issue. Adopted amendment to RC 11.1 (Rev. CoP15) on <i>Establishment of Committees</i> , directing the AC and PC to provide scientific advice on training materials used in capacity-building. Adopted a Decision that directs the Secretariat to, <i>inter alia</i> , invite Parties to share their experiences in making non-detriment findings (NDFs) for inclusion on the CITES website.
24. World Wildlife Day CoP16 Doc. 24 (Rev. 1)	Prepared by Thailand. Adopted a Resolution, with minor amendments, declaring 3 March World Wildlife Day.

DOCUMENT	RESULT
<p>25. Review of Resolutions CoP16 Doc. 25</p>	<p>Adopted non-substantive revisions to RC 9.24 (Rev. CoP15) on <i>Criteria for amendment of Appendices I and II</i>; RC 11.17 (Rev. CoP14) on <i>National reports</i> (Annex 6); RC 13.6 on <i>Implementation of Article VII, paragraph 2, concerning 'pre-Convention' specimens</i> (CoP16 Com. II. 3); and RC 13.8 on <i>Participation of observers at meetings of the Conference of the Parties</i>.</p> <p>Adopted amendments to RC 4.6 (Rev. CoP15) on <i>Submission of draft resolutions and other documents for meetings of the Conference of the Parties</i> that, <i>inter alia</i>, add "Decisions" to the types of documents produced and seek adoption of official text of the Convention in Arabic; a proposal to reduce timeline for document submission was withdrawn.</p> <p>Adopted amendments to RC 9.5 (Rev. CoP15) on <i>Trade with States not party to the Convention</i> to clarify that rules regarding trade with non-Parties extend to specimens originating in a Party State that are re-exported from a non-Party State; also to require that the permit or certificate state that it is valid only if the transport conditions comply with the <i>IATA Live Animals Regulations</i> or, if it covers plants, with the <i>IATA Perishable Cargo Regulations</i>.</p> <p>Adopted amendments to RC 9.5 (Rev. CoP15) and RC 11.3 (Rev. CoP15) on <i>Compliance and enforcement</i> (CoP16 Com. II. 1) that, <i>inter alia</i>, address trade of specimens of Appendix-II or -III species being exported from a State not party to the Convention in a manner detrimental to the survival of that species; also to recommend, if necessary, to make use of the provisions of Article XIV, paragraph 1. a), of the Convention to take stricter measures as appropriate.</p> <p>Adopted amendments to RC 9.6 (Rev.) on <i>Trade in readily recognizable parts and derivatives</i> stating that, "Urine, faeces and ambergris (that has been naturally excreted) are waste products and are therefore not covered by the provisions of the Convention".</p> <p>Adopted amendments to RC 11.1 (Rev. CoP15) on <i>Establishment of Committees</i> to authorize AC and PC to establish working groups, SC to establish subcommittees and a permanent Finance and Budget Subcommittee and, to clarify which members receive reimbursement of travel expenses</p> <p>Adopted amendments to RC 12.3 (Rev. CoP15): on <i>Permits and certificates</i> (CoP16 Com. II. 2) for harmonization of issuance of permits for manufactured products that include parts of more than one CITES specimen; proposal regarding "State of usual residence" was withdrawn.</p> <p>A proposal regarding amendments to RC 12.10 (Rev. CoP15) was withdrawn.</p>
<p>26. Draft Revision of Resolution Conf. 10.10 (Rev. CoP15) on Trade in Elephant Specimens CoP16 Doc. 26 (Rev. 1)</p>	<p>Submitted by SC Chair on behalf of SC.</p> <p>Adopted revised amendments to RC 10.10 (Rev. CoP15) on <i>Trade in elephant specimens</i> (CoP16 Com. II. 26) that include, <i>inter alia</i>, deleting reference to "recommendations to restrict or suspend commercial trade" in response to lack of compliance by Parties involved in illegal trade, including a recommendation that range States have measures in place to prevent illegal trade in live elephants, including requirements for exporting raw ivory as a hunting trophy, and including a requirement that interpretation and analysis of Elephant Trade Information System (ETIS) data be in consultation with relevant Parties in addition to the MIKE and ETIS Technical Advisory Group.</p>
<p>27. Climate change CoP16 Doc. 27 (Rev. 1)</p>	<p>Prepared by the SC.</p> <p>Agreed to the report of the SC that states that, <i>inter alia</i>, current provisions of the Convention and of Resolutions are sufficiently broad to take into account implications of climate change and, agreed to delete related Decisions.</p>

DOCUMENT	RESULT
28. National laws for implementation of the Convention CoP16 Doc. 28	Adopted revised Decisions that, <i>inter alia</i> , direct the SC to recommend suspension of trade with those Parties that have been Party to the Convention for 20 years or more and have failed to adopt appropriate measures to implement CITES or agree an appropriate legislative timetable with the Secretariat by SC66.
29. Enforcement matters CoP16 Doc. 29 (Rev. 1)	<p>Adopted amendments to RC 11.3 (Rev. CoP15) on <i>Compliance and enforcement</i> that include, <i>inter alia</i>, that Parties make use of the ICCWC <i>Wildlife and forest crime analytic toolkit</i>, that the Secretariat report compliance matters to the SC and CoP, and to reduce time limit to six months in which Parties provide the Secretariat, justification of the reasons for which they have not been able to respond to an inquiry on a potential compliance matter.</p> <p>Adopted Decisions that, <i>inter alia</i>, direct the SC to assess implementation and enforcement of CITES as it relates to the trade in species listed in Appendix I.</p> <p>Adopted a Decision that, <i>inter alia</i>, directs the SC to review information provided by range States on the conservation of and illegal trade in Asian pangolins and develop appropriate recommendations for CoP17.</p>
30. National reports CoP16 Doc. 30 (Rev. 1)	<p>Prepared by the SC WG on Special Reporting Requirements and the Secretariat.</p> <p>Adopted amendments to RC 4.6 (Rev. CoP14) on <i>Submission of draft resolutions and other documents for meetings of the Conference of the Parties</i> and RC 11.17 (Rev. CoP14) on <i>National reports</i> that, <i>inter alia</i>, changes biennial reports to triennial reports and adds text directing the CoP when requesting special reports, to "...give consideration to making those special reports time-limited."</p> <p>Adopted Decisions that <i>inter alia</i>, direct SC to consider whether to amend any Strategic Vision indicators and consider means for collecting statistical information on illegal trade through Parties' annual reports; direct the AC and PC to review special reporting requirements and assess whether they are valid or outdated; and the SC to advise CoP which requirements can be considered for deletion.</p>
31. Disposal of illegally traded and confiscated specimens of Appendix-II and -III species CoP16 Doc. 31 (Rev. 2)	<p>Prepared by Indonesia.</p> <p>Adopted a Decision (CoP16 Com. II. 21) directing the SC to review RCs 9.9 on <i>Confiscation of specimens exported or re-exported in violation of the Convention</i>, 9.10 (Rev. CoP15) on <i>Disposal of confiscated and accumulated specimens</i> and 10.7 (Rev. CoP15) on <i>Disposal of confiscated live specimens of species included in the Appendices</i> with a view to determining whether to consolidate or simplify any of their provisions, and report to CoP17.</p>
32. Introduction from the sea CoP16 Doc. 32 (Rev. 1)	<p>Prepared by the Chair and Vice-Chair of the SC WG on Introduction from the Sea.</p> <p>Adopted, by a vote, amendments to RC 14.6 on <i>Introduction from the sea</i> and RC 12.3 (Rev. CoP15) on <i>Permits and certificates</i> regarding the issuance of CITES documents for specimens taken in 'marine environment not under the jurisdiction of any State'; and Decisions directing the Secretariat to report on implementation of the chartering provisions: 56 for, 15 against, 14 abstentions.</p>
33. Non-detriment findings CoP16 Doc. 33 (Rev. 1)	<p>Prepared by AC and PC Chairs.</p> <p>Adopted a revised Resolution on <i>Non-detriment findings</i> that, <i>inter alia</i>, provides non-binding guiding principles for CITES authorities to consider when making NDFs.</p>

DOCUMENT	RESULT
34. Electronic permitting CoP16 Doc. 34 (Rev. 1)	Adopted Decision that directs the SC WG on Information Technologies and Electronic Systems to continue its work.
35. Improving the efficiency of international cooperation on permit and certificate verification CoP16 Doc. 35 (Rev. 1)	Prepared by China. Adopted amendments to RC 12.3 (Rev. CoP15) on <i>Permits and certificates</i> (CoP16 Com. II. 20), including, <i>inter alia</i> , (under XIV. <i>Regarding acceptance and clearance of documents and security measures</i>) that if an exporting or re-exporting Party is not able to verify the validity of a CITES permit or certificate within 15 days of receiving such a request from an importing Party, it should provide a preliminary response within 15 days of receiving the request and a final response as soon as possible thereafter. The maximum period for such verification should not exceed 30 days.
36. Decision-making mechanism for a process of trade in ivory CoP16 Doc. 36 (Rev. 1)	Adopted a revised Decision (CoP16 Com. II 18) that directs the SC, <i>inter alia</i> , to propose for approval at the latest at CoP17, a decision-making mechanism for “a process of trade in ivory” under the auspices of the CoP and, conduct its work in consultation with all African and Asian elephant range States and, to the extent possible, in both French and English.
37. Proposal to amend Decision 14.77 on a <i>Decision-making mechanism for a future trade in elephant ivory</i> CoP16 Doc. 37	Prepared by Benin, Burkina Faso, Central African Republic, Cote d'Ivoire, Kenya, Liberia, and Nigeria. <i>See Result under 36.</i>
38. Purpose codes on CITES permits and certificates CoP16 Doc. 38 (Rev. 1)	Prepared by the Chair of the SC WG on purpose-of-transaction codes. Adopted amendments to Decision 14.54 (Rev. CoP15) that directs the SC to continue its working group on purpose-of-transaction codes, and report to CoP17.
39. Transport of live specimens CoP16 Doc. 39 (Rev. 1)	Prepared by Co-chairs of joint AC/PC transport WG and Secretariat. Adopted amendments to RC.10 21 (Rev. CoP14) on <i>Transport of live specimens</i> that include, <i>inter alia</i> , incorporating the new CITES Guidelines for the Non-Air Transport of Live Wild Animals and Plants.
40. Cross-border movement of musical instruments CoP16 Doc. 40 (Rev. 1)	Prepared by the USA. Adopted a Resolution on <i>Frequent cross-border non-commercial movements of musical instruments</i> (CoP16 Com. II. 25) and amended RC 12.3 (Rev. CoP15) on <i>Permits and certificates</i> to include a the definition of “travelling exhibition”
41. Use of taxonomic serial numbers CoP16 Doc. 41 (Rev. 1)	Prepared by the Chair of the WG on the Use of Taxonomic Serial Numbers. Adopted revisions to 15.67 and 15.69 that, <i>inter alia</i> , direct the Secretariat to compile information from Parties on the usefulness of incorporating taxonomic serial numbers in domestic data systems and make this information available to the Parties by CoP17.

DOCUMENT		RESULT
42. Physical inspection of timber shipments CoP16 Doc. 42 (Rev. 1)		Prepared by USA on behalf of SC WG on Physical Inspection of Timber Shipments. Adopted a Decision directing the Secretariat to, <i>inter alia</i> , obtain information from Parties on identification and measurement of CITES-listed tree species, and inspection of timber shipments; and compile this information on CITES website.
43. Standard Nomenclature		
43.1	Report of the Animals and Plants Committees CoP16 Doc. 43.1	Prepared by the AC and PC. Noted the report on Nomenclature issues. Adopted amendments to RC 12.11 (Rev. CoP15) on <i>Standard nomenclature</i> relating to the list of standard references adopted by the Conference of the Parties. Agreed to retain Decision 15.64 regarding coral reference material.
43.2	Standard nomenclature for <i>Hippocampus</i> species CoP16 Doc. 43.2	Prepared by Switzerland. Agreed to accept five newly described species <i>Hippocampus</i> spp.as valid RC 12.11 on <i>Standard nomenclature</i> .
44. Identification Manual		
44.1	Report of the Secretariat CoP16 Doc.44.1	Adopted amendments to RC 11.19 on <i>Identification Manual</i> that, <i>inter alia</i> , eliminate obligation for Secretariat to report on progress made with the CITES Identification Manual at each meeting of the SC, AC and PC but instead, to report to these Committees “whenever appropriate.”
44.2	Report of the Plants Committee CoP16 Doc. 44.2 (Rev. 1)	Prepared by the PC Chair. Adopted revised Decisions that, <i>inter alia</i> , direct the Secretariat to issue a Notification requesting information on available identification materials and AC and PC to form an intersessional working group to assist in identifying CITES-listed taxa.
45. E-commerce of specimens of CITES-listed species CoP16 Doc. 45		Agreed that Parties should continue to submit information to Secretariat on e-commerce in specimens of CITES-listed species as per Decision 15.57. Adopted a Decision that directs the SC to liaise with the World Customs Organization with regard to the inclusion of CITES-listed species in the Harmonized Commodity Description and Coding System.
46. Personal and household effects CoP16 Doc. 46		Prepared by the Chair of the SC WG on Personal and Household Effects. Adopted amendments to RC 13.7 (Rev. CoP14) on <i>Control of trade in personal and household effects</i> to include Guidelines for Interpretation of Personal and Household Effects and includes “the export of rhino horn or elephant ivory contained in hunting trophies” in the list of items that do not qualify for the personal and household effects exemption.

DOCUMENT		RESULT
47. Proposed revision of Resolution Conf. 13.7 (Rev. CoP14) on <i>Control of trade in personal and household effects</i> CoP16 Doc. 47 (Rev. 1)		Prepared by Indonesia and Kuwait. Adopted, for inclusion in the list of quantitative exemptions contained in paragraph b) ii) of RC 13.7 (Rev. CoP14) on <i>Control of trade in personal and household effects</i> : "Specimens of agarwood – up to 1 kg woodchips, 24 ml oil, and 2 pieces sets of beads, (or prayer beads, or 2 necklaces, or bracelets) per person".
48. Implementation of the Convention relating to captive-bred and ranched specimens CoP16 Doc. 48 (Rev. 1)		Submitted by the SC. Adopted Decisions that, <i>inter alia</i> , direct Secretariat to report to the SC on significant cases for which there is serious doubt as to whether traded specimens are truly captive-bred or ranched; contract an expert to prepare a report on problems associated with use of source codes C, D, F and R; and direct the SC to review the report and recommend a process for reviewing trade in claimed captive-bred or ranched specimens.
49. Great apes CoP16 Doc. 49		Adopted a Decision (CoP16 Com. II. 13) directing the SC to review RC 13.4 (Rev. CoP16) on <i>Conservation of and trade in great apes</i> , with a view to establishing an illegal trade reporting mechanism, and present its recommendations at CoP17.
50. Asian big cats CoP16 Doc. 50 (Rev. 1)		Adopted Decisions (CoP16 Com. II. 30) that, <i>inter alia</i> , direct the Secretariat to conduct a review of implementation of RC 12.5 (Rev. CoP15) on <i>Conservation of and trade in tigers and other Appendix-I Asian big cat species</i> , and the SC to review the conservation of and trade in Appendix-I Asian big cat species and determine any actions deemed necessary to combat illegal trade in Asian big cats.
51. Illegal trade in cheetahs CoP16 Doc. 51 (Rev. 1)		Prepared by Ethiopia, Kenya, and Uganda. Adopted Decisions that, <i>inter alia</i> , direct the Secretariat to initiate a study on the legal and illegal trade in cheetahs and, the AC and SC to consider the study and make recommendations as appropriate.
52. Leopard quotas CoP16 Doc. 52 (Rev. 1)		Prepared by Botswana, South Africa and USA. Adopted amendments to RC 10.14 (Rev. CoP14) on <i>Quotas for leopard hunting trophies and skins for personal use</i> that, <i>inter alia</i> , clarify that quotas in the Resolution are not export quotas but are harvest quotas for a calendar year.
53. Elephants		
53.1	Monitoring the Illegal Killing of Elephants CoP16 Doc. 53.1	The report was noted.

DOCUMENT		RESULT
53.2	Monitoring of illegal trade in ivory and other elephant specimens	
	53.2.1 Report of the Secretariat CoP16 Doc.53.2.1	Adopted revised Decisions (CoP16 Com. II. 22) that, <i>inter alia</i> , direct the Secretariat to convene a CITES Ivory Enforcement Task Force for nine countries (China, Kenya, Malaysia, the Philippines, South Africa, Thailand, Uganda, Tanzania, Vietnam), and develop country specific actions and deadlines to control trade in ivory for ten countries of 'secondary concern' (Cameroon, Congo, DRC, Egypt, Ethiopia, Gabon, Mozambique, Nigeria, Uganda); the SC to determine if further actions are necessary; and Parties to submit samples of large scale ivory seizures for forensic analysis.
	53.2.2 Report of TRAFFIC CoP16 Doc. 53.2.2 (Rev. 1)	Prepared by TRAFFIC International. <i>See Result under 53.2.2.</i>
53.3	Proposed new resolution concerning the <i>African Elephant Action Plan</i> and <i>African Elephant Fund</i> CoP16 Doc. 53.3 (Rev. 2)	Prepared by Nigeria and Rwanda. Adopted a revised Resolution on <i>African Elephant Action Plan and African Elephant Fund</i> (CoP16 Com II. 17) that, <i>inter alia</i> , encourages support for the Plan and Fund.
54. Rhinoceroses		
54.1	Report of the Working Group Prepared by Chair of SC WG on Rhinoceroses CoP16 Doc. 54.1 (Rev. 1)	Prepared by Chair of SC WG on Rhinoceroses. Adopted revised Decisions that, <i>inter alia</i> , direct the Secretariat to convene a CITES Rhinoceros Enforcement Task Force, examine the implementation of Resolution Conf. 9.14 (Rev. CoP15) on <i>Conservation of and trade in African and Asian rhinoceroses</i> , and examine progress with curtailing illegal trade in rhino parts and derivatives by citizens of implicated States; and the SC to continue work of the SC Working Group on Rhinoceroses and determine further actions to be implemented by Parties to reduce illegal supply and demand.
54.2	Report of the Secretariat CoP16 Doc. 54.2 (Rev. 1)	<i>See Result under 54.1.</i>
55. Tibetan antelope CoP16 Doc. 55		Proposal that reporting requirements in RC 11.8 (Rev. CoP13) be deleted was withdrawn, noting that several significant seizures had taken place in 2013. Adopted Decision directing the SC to report on seizures and investigations, from the Parties, relating to Tibetan antelope

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56. Saiga antelope CoP16 Doc. 56		Adopted Decisions that, <i>inter alia</i> , direct range, trading and consumer States to implement the Medium-Term International Work Programme for the Saiga Antelope (2011-2015) and, directs the SC to consider reports on the issue and make recommendations to CoP17. The Russian Federation stated that it intended to submit a proposal to CoP17 transfer the species to Appendix I.
57. Snake trade and conservation management CoP16 Doc. 57 (Rev. 1)		Prepared by Chair of SC WG on snake trade and conservation management. Adopted a Decision from the AC that AC27 consider red list assessments for Asian snakes and make appropriate recommendations. Adopted revised Decisions (CoP16 Com. I. 2) that, <i>inter alia</i> , direct the Secretariat to oversee studies regarding production systems for listed Asian snake species, and a study on high-value snake species in pet trade; AC and SC to make recommendations to CoP17 based on these studies; and Asian range States to establish voluntary conservative annual catch and export quotas for CITES-listed snake species in trade.
58. Tortoises and freshwater turtles		
58.1	Report of the Standing Committee CoP16 Doc. 58.1 (Rev. 1)	Prepared by the Chair of the SC WG on Tortoises and Freshwater Turtles. Adopted revised Decisions (CoP16 Com. II. 23) that, <i>inter alia</i> , direct the Secretariat to establish and convene a CITES Tortoises and Freshwater Turtles Task Force, undertake a study on making NDFs for these species, and a report on illegal trade in these species; Parties to address trade in parts and derivatives of these species, and the SC, in response to the reports, to make any recommendations it deems appropriate.
58.2	Report of the Animals Committee CoP16 Doc. 58.2 (Rev. 1)	Prepared by the AC. <i>See Result under 58.1.</i>
59. Hawksbill turtle CoP16 Doc. 59 (Rev. 1)		Adopted a draft Decision instructing the Secretariat to collaborate with the Secretariat of the Inter-American Convention for Protection and Conservation of Sea Turtles (IAC) on implementation of recommendations from the Regional Workshop on the Hawksbill Turtle in the Wider Caribbean and Western Atlantic (Mexico 2009).

DOCUMENT		RESULT
60. Sturgeons and paddlefish		
60.1	Report of the Animals Committee CoP16 Doc. 60.1	<p>Adopted amendments to RC 12.6 (Rev. CoP15) on <i>Conservation of and trade in sturgeons and paddlefish</i> that include, <i>inter alia</i>, requiring reporting every year.</p> <p>Agreed, by vote, to retain reference to SC61 Doc. 48.2 in RC 12.6 (Rev. CoP15): 51 for, 8 against, 27 abstentions.</p> <p>Adopted, by vote, amendments to RC 12.6 (Rev. CoP15) that, <i>inter alia</i>, direct Secretariat to assist with developing a strategy for the conservation of <i>Acipenseriformes</i>: 51 for, 14 against, 30 abstentions.</p> <p>Adopted, by vote, additional amendments to RC 12.6 (Rev. CoP15) contained in CoP16 Inf. 53, as amended: 59 for, 5 against and 29 abstentions</p> <p>Adopted Decisions that, <i>inter alia</i>, direct the Secretariat to undertake an overview of molecular, DNA-based and other forensic methods that could assist in identifying the species and populations of <i>Acipenseriformes</i> specimens in trade.</p>
60.2	Report of the Secretariat CoP16 Doc. 60.2	<i>See Result under 60.1.</i>
61. Sharks and stingrays	CoP16 Doc. 61 (Rev. 1)	<p>Prepared by the AC.</p> <p>Adopted amendments to Resolution Conf. 12.6 (Rev. CoP15) on <i>Conservation and management of sharks (Class Chondrichthyes)</i> (CoP16 Com. I.1) that include, <i>inter alia</i>, inserting text of Decision 15.85 regarding freshwater stingrays (<i>Potamotrygonidae</i>) as a final operative paragraph.</p> <p>Adopted Decisions (CoP16 Com. I.1) that, <i>inter alia</i>, direct the Secretariat to request a summary of Parties' domestic laws and regulations that prohibit or regulate the landing of sharks or trade in shark specimens, and post this information on the CITES website.</p>
62. Humphead wrasse	CoP16 Doc. 62 (Rev. 1)	<p>Prepared by the Chair of the SC WG on Humphead Wrasse.</p> <p>Adopted Decisions that, <i>inter alia</i>, direct the SC to review the actions taken to implement the Appendix II listing of humphead wrasse and report recommendations for improving the regulation of international trade in the species, and related enforcement of controls.</p>
63. Toothfish: report of CCAMLR	CoP16 Doc. 63 (Rev. 1)	<p>Prepared by CCAMLR.</p> <p>Noted the report.</p>
64. Sea cucumbers	CoP16 Doc. 64 (Rev. 1)	<p>Prepared by the AC.</p> <p>Agreed to delete Decisions related to sea cucumbers.</p>

DOCUMENT		RESULT
65. Regional cooperation on the management of and trade in the queen conch (<i>Strombus gigas</i>) CoP16 Doc. 65 (Rev. 1)		Prepared by Colombia. Adopted Decisions (CoP16 Com. 1.5) that, <i>inter alia</i> , direct range States to implement the recommendations of a 2012 workshop on queen conch and the Secretariat to make information on the making of NDFs for this species available on the CTIES website.
66. Madagascar CoP16 Doc. 66 (Rev. 1)		Prepared by PC Chair with support of the PC nomenclature specialist and Madagascar. Noted the document and agreed to repeal Decision 15.97 which relates to succulent and tree species in Madagascar.
67. Agarwood-producing taxa		
67.1	Report of the Plants Committee CoP16 Doc. 67.1 (Rev. 2)	Prepared by the PC. Agreed to extend Decision 15.95 regarding definitions of artificially propagated plants but rejected changes to RC 10.13 (Rev. CoP15) on <i>Implementation of the Convention for timber species</i> .
67.2	Draft resolution on <i>Implementation of the Convention for agarwood-producing taxa</i> CoP16 Doc. 67.2 (Rev. 1)	Prepared by China, Indonesia, Kuwait, and Thailand. Adopted a Resolution on <i>Implementation of the Convention for agarwood-producing taxa</i> that, <i>inter alia</i> , establishes a definition of 'under controlled conditions' and 'artificially propagated' for agarwood-producing taxa, that differs from that for all other taxa. Adopted Decision directing the PC to monitor the implementation of this Resolution.
68. Bigleaf mahogany CoP16 Doc. 68 (Rev. 1)		Prepared by the PC Chair. Adopted Decisions directing the Working Group on Neotropical Timber Species to continue its work and the Secretariat to seek funding for this work.
69. <i>Cedrela odorata</i> , <i>Dalbergia retusa</i> , <i>Dalbergia granadillo</i> and <i>Dalbergia stevensonii</i> CoP16 Doc. 69 (Rev. 1)		Prepared by the PC Chair. Agreed to repeal Decision 14.146 (Rev. CoP15) which directs the PC to make recommendations regarding listing these species in Appendix II.
70. Report of the Central Africa Bushmeat Working Group CoP16 Doc. 70 (Rev. 1)		Prepared by Chair of the Central Africa Bushmeat WG. Adopted a Decision directing the SC to, <i>inter alia</i> , review Resolution Conf. 13.11 on <i>Bushmeat</i> and submit its recommendations to CoP17.

DOCUMENT		RESULT
71. Criteria for the inclusion of species in Appendices I and II CoP16 Doc. 71 (Rev. 1)		Prepared by the SC. Noted the findings of the AC regarding application of Annex 2a) criterion B of RC 9.24 (Rev. CoP15) on <i>Criteria for amendment of Appendices I and II</i> which include that Parties are encouraged, when drafting and submitting proposals to amend the CITES Appendices with respect to commercially-exploited aquatic species, to “elucidate the vulnerabilities, as defined in Annex 5 of RC 9.24 (Rev. CoP15), and mitigating factors including, but not limited to, large absolute numbers, refugia and fisheries management measures that they have considered”.
72. Criteria related to ranched populations CoP16 Doc. 72 (Rev. 2)		Submitted by the AC. Agreed to amend RC 9.24 (Rev. CoP15) on <i>Criteria for amendment of Appendices I and II</i> to reinstate the ability to transfer qualified populations that continue to meet the biological criteria for listing in Appendix I, to Appendix II with the approval of a qualified ranching proposal. Rejected proposal to merge Resolution Conf 11.16 (Rev. CoP15) on <i>Ranching and trade in ranched specimens of species transferred from Appendix I to Appendix II</i> with Resolution Conf. 9.20 (Rev) on <i>Guidelines for evaluating marine turtle ranching proposals submitted pursuant to Resolution Conf. 11.16 (Rev. CoP15)</i> .
73. Proposed revision of Resolution Conf. 10.9 on <i>Consideration of proposals for the transfer of African elephant populations from Appendix I to Appendix II</i> CoP16 Doc. 73 (Rev. 1)		Prepared by Cote d'Ivoire, Liberia and Sierra Leone. Adopted a Decision (CoP16 Com. II. 19) directing the SC to establish a working group to review Resolution Conf. 10.9 on <i>Consideration of proposals for the transfer of African elephant populations from Appendix I to Appendix II</i> .
74. Periodic review of the Appendices		
74.1	Revision of Resolution Conf. 14.8 on <i>Periodic Review of the Appendices</i> CoP16 Doc. 74.1 (Rev. 1)	Prepared by the AC and PC Chairs. Adopted revisions to RC 14.8 on <i>Periodic Review of the Appendices</i> , including, <i>inter alia</i> , clarification of the steps to be taken in the process including: establishing list of species to be reviewed, when to remove species from list, notification of range States of process, and maintenance of list of species subject to review.

DOCUMENT		RESULT
74.2	Review of the Appendices: Felidae CoP16 Doc. 74.2 (Rev. 1)	Prepared by the AC. Adopted amendment to Decision 13.93 (Rev. CoP15) to complete the review of Felidae and report at CoP17.
75.	Development and application of annotations CoP16 Doc. 75 (Rev. 1)	Prepared by the Chair of the SC WG on Annotations. Adopted definition of “extract”. Adopted revised amendments to RCs 5.20, 9.24 (Rev. CoP15), 9.25 (Rev. CoP15), 11.19, and 11.21 (Rev. CoP15); the proposed changes generally shift the focus of annotations for plants from “affected” parts and derivatives to “those parts and derivatives that dominate the trade”. Adopted revised Decisions (CoP16 Com. II. 27) that, <i>inter alia</i> , direct the SC, in cooperation with the AC and PC, to form a working group on annotations to address issues related to annotations, including those for tree species.
76.	Annotations – Report of the Plants Committee CoP16 Doc. 76 (Rev. 1)	Prepared by the PC Chair. Adopted definitions of “powder”, “wood chip”, and “finished product packaged and ready for retail trade”.



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