

Results of CITES CoP14 Working Documents

The Hague, the Netherlands, 3 – 15 June 2007

AGENDA ITEM (Proponent)	RESULT*
1. Rules of Procedure (Secretariat)	Proposed amendments were adopted by consensus
2. Election of Chairman and Vice-Chairmen of the meeting and of Chairmen of Committees I and II	Elected Gerda Verburg (the Netherlands) as Chair and Marshall Jones (United States of America) and Marcelo Calvar Agrelo (Uruguay) as Vice-Chairs
3. Adoption of the agenda	Amended agenda was adopted by consensus
4. Adoption of the working programme	Amended working programme was adopted by consensus
5. Credentials Committee	Established the Credentials Committee for the meeting
6. Admission of observers	A list of observers is available at: http://www.cites.org/eng/cop/index.shtml
7. Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties (Secretariat)	<p><u>7.1 Financial reports for the years 2004 to 2006</u>: Adopted</p> <p><u>7.2 Estimated expenditures for 2007</u>: Accepted the estimated expenditure for 2007 and approved a drawdown of US\$85,670</p> <p><u>7.3: Costed programme of work for the Secretariat for the triennium 2009-2011</u>: Adopted a 6% budget increase (93 votes in favor, 14 against) and adopted a draft resolution with amendments; the resolution, <i>inter alia</i>, instructs the Standing Committee to establish a Finance and Budget Subcommittee for the consideration of all financial and budgetary matters, and instructs the Secretariat to develop a costed programme of work for consideration at SC57</p>
8. Committee reports	<p><u>8.1 Standing Committee</u>: Noted a verbal report on the work of the Committee</p> <p><u>8.2 Animals Committee</u>: Noted the report and agreed on draft decisions regarding the African grey parrot <i>Psittacus erithacus</i> and giant clams <i>Tridacna</i> spp. resulting from their inclusion in the Review of Significant Trade; agreed that RC13.10 (Trade in alien invasive species) should be maintained but text directing the Secretariat and Animals Committee to work with the CBD and IUCN on these issues was deleted; and adopted (59 votes in favor, 21 against, 13 abstentions) a decision proposed by Australia directing the Animals Committee to not undertake a periodic review of any species of great whale</p> <p><u>8.3 Plants Committee</u>: Noted the report and adopted draft decisions relating to, <i>inter alia</i>, the Review of Significant Trade, <i>Prunus africana</i>, medicinal plants, agarwood-producing taxa and Orchidaceae</p> <p><u>8.4 Chairs of the Animals and Plants Committees: (Combined with agenda item 12)</u> Noted the report; adopted an amendment to RC 11.1 (Rev. CoP13) (Establishment of Committees) allowing the Animals and Plants Committees to adopt their own Rules of Procedure, and to include to include the work on nomenclature in working groups of the Animals and Plants Committees; adopted draft decisions regarding the production of a manual for regional representatives</p> <p><u>8.5 Nomenclature Committee</u>: Noted the report and agreed to the recommendations contained in the Annex to the Committee report</p>
9. Committee elections and appointments	Election results can be viewed at: http://www.cites.org/eng/cop/14/rep/E14-Plen-4.pdf
10. Report of the ministerial meeting (Secretariat)	Adopted (see http://www.cites.org/eng/cop/14/doc/E14-10.pdf)
11. CITES Strategic Vision: 2008-2013 (Strategic Plan Working Group)	Adopted the text of a resolution on the CITES Strategic Vision: 2008-2013, detailing the purpose and goals of the Strategic Vision; adopted a decision directing the Secretariat to request input from the Parties on the remaining indicators and report to SC57
12. Review of the scientific committees (Standing Committee)	(Combined with agenda item 8.4) Adopted amendments to both RC 12.11 (Rev. CoP13) and RC 11.1 (Rev.

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	CoP13), <i>inter alia</i> , directing the Standing, Animals, and Plants Committees to adopt their own Rules of Procedure, and to include to include the work on nomenclature in working groups of the Animals and Plants Committees; adopted a decision directing the Secretariat to publish a manual for regional representatives
13. Addis Ababa Principles and Guidelines for the Sustainable Use of Biodiversity (Animals and Plants Committees)	Adopted (52 votes in favor, 13 against, 12 abstentions) amendments to RC 13.2 (Sustainable use of biodiversity: Addis Ababa Principles and Guidelines), including, <i>inter alia</i> , the addition of an Annex containing the Animals and Plants Committees' recommendations concerning the voluntary use of the guidelines, stating that are not always immediately applicable to the decision-making process under CITES
14. CITES and livelihoods (Argentina, China, Germany and Nicaragua)	Adopted decisions that, <i>inter alia</i> , direct the Standing Committee, by CoP15, to develop tools for use by the Parties for the rapid assessment of the positive and negative impacts of implementing CITES listing decisions on the livelihoods of the poor and to develop voluntary draft guidelines for Parties to address these impacts
15. National wildlife trade policy reviews (Secretariat)	Adopted decisions, that <i>inter alia</i> , direct the Secretariat to facilitate a voluntary review of Parties' national policies regarding the use of and trade in specimens of CITES-listed species and report on progress at SC57 and CoP15
16. Capacity building (Secretariat)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to establish a CITES Virtual College and to seek funding to convene an enforcement-focused capacity-building workshop and regional meeting for the Oceania region before SC58
17. Cooperation between Parties and promotion of multilateral measures (Secretariat)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to prepare a report on whether there is a need to “to clarify, revise or repeal” CITES Resolutions and “the scope for multilateral CITES processes to be further developed that reduce the need by Parties for recourse to stricter domestic measures and reservations”; the decisions also direct the Standing Committee to establish a working group at SC57 to review the report produced and consider action for CoP15
18. Cooperation with other organizations	<p>18.1 Cooperation with the Food and Agriculture Organization of the United Nations (Secretariat): Rejected (40 in favor, 34 against, 2 abstentions) a draft decision directing the Standing Committee to create a ‘Fishery Working Group’; adopted a decision directing the Secretariat to initiate discussions with FAO on cooperation between the two organizations on forestry and non-timber forest products</p> <p>18.2 Cooperation between CITES and ITTO regarding trade in tropical timber (USA): Amended draft resolution was adopted</p> <p>18.3 Statements from representatives of other conventions and agreements: Noted</p>
19. Dialogue meetings (Secretariat)	Noted the results of the dialogue meeting on the African elephant and adopted an amended resolution that includes the terms of reference for CITES dialogue meetings including, <i>inter alia</i> , the requirement that observers be approved by consensus
20. Review of Resolutions (Secretariat)	<p>20.1 Resolutions relating to Appendix-I species: The draft consolidated resolutions in both annexes were rejected.</p> <p>20.2 General review: Adopted the proposals with minor amendments and adopted a decision directing the Standing Committee to review any proposals from the Secretariat to correct non-substantive errors or minor editorial faults in current Resolutions and decide whether they should be referred to the Conference of the Parties.</p>
21. Revision of Resolution Conf. 11.16 on Ranching and trade in ranches specimens of species transferred from Appendix I to Appendix II (Animals Committee)	Adopted by consensus revisions to RC Conf. 11.16 that reduce reporting requirements for ranching operations
22. Review of Decisions (Secretariat)	Rejected the proposal to delete Decision 10.2 (Rev. CoP11) (Conditions for elephant ivory stocks), Decisions 12.90-12.93 (Capacity building for Appendix-II voluntary national export quotas), and Decisions 13.14-13.17 (Improving regional communication and representation); adopted a proposal to delete Decision 13.53 (Medicinal plants) and a proposal to integrate Decision 9.15 (Diplomatic exemptions) into RC 11.3 (Rev. CoP13)
23. Guidelines for compliance with the Convention (Chairman of the Standing Committee Working Group on	Adopted a draft resolution that includes a non-binding Guide to CITES Compliance Procedures

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Compliance)	
24. National laws for implementation of the Convention (Secretariat)	Adopted decisions that, <i>inter alia</i> , set a deadline of SC58 for compliance of national legislation with CITES, direct the Standing Committee to consider appropriate measures for those Parties that do not comply with the requirements, and direct the Secretariat to report at SC57, SC58 and CoP15
25. Enforcement matters (Secretariat)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to convene a meeting of the CITES Enforcement Experts Group to identify measures to improve data gathering on and analysis of illegal trade and report to the Standing Committee on the results; the Standing Committee is to endorse relevant recommendations and report to CoP15
26. Compliance and enforcement (Germany)	Adopted amendments to RC 11.3 (Rev. CoP13) (Compliance and enforcement) including, <i>inter alia</i> , a recommendation that Parties that are not yet signatories to, or have not yet ratified, the UN Convention against Transnational Organized crime and the UN Convention against Corruption consider doing so; adopted decisions directing the Secretariat to convene a meeting of the CITES Enforcement Experts Working Group
27. Disposal of illegally traded and confiscated specimens of Appendix-II and -III species (Indonesia)	Withdrawn
28. Internet trade in specimens of CITES-listed species (Germany)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to collect information from the Parties on Internet trade via a Notification, hire a consultant to prepare a report on the issue, convene a workshop on wildlife trade via the Internet, and report on the results at SC58
29. National reports (Secretariat)	Adopted decisions that, <i>inter alia</i> , direct the Standing Committee to assess whether special reports might be incorporated into the annual and biennial reports, and the Secretariat to identify additional ways to reduce the reporting burden on Parties
30. Reporting on trade in artificially propagated plants (Switzerland)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to prepare a report for PC18 on reporting practices relating to trade in artificially propagated plants, the Plants Committee to make recommendations to the Standing Committee, and the Standing Committee to report to CoP15
31. Monitoring of the implementation of the annotations to <i>Euphorbia</i> spp. and Orchidaceae spp. included in Appendix II (Switzerland)	Withdrawn
32. Incentives for implementation of the Convention (Secretariat)	<u>Committee I</u> : Adopted the draft decisions with 51 in favor, 22 against and 9 abstentions <u>Plenary</u> : Amended the decisions, <i>inter alia</i> , by deleting the role of the Standing Committee in considering ways to enhance stakeholder engagement and deleting the role of the Secretariat in “ <i>cooperation on incentive measures</i> ” and development of targeting recommendations; the decisions direct the Parties (not the Standing Committee) to consider ways to enhance stakeholder engagement in the implementation of the Convention, and direct the Secretariat to continue its role in cooperation with the BioTrade Initiative of UNCTAD under a signed MoU to “ <i>ensure the conservation of wild species subject to international trade</i> ”
33. Introduction from the sea (Secretariat)	Adopted a decision that, <i>inter alia</i> , directs the Standing Committee to establish a working group on Introduction from the sea at SC57, convene a meeting of the working group between SC57 and SC58; and ask the working group to prepare a discussion paper and draft revised resolution for consideration at SC58 and CoP15
34. Trade in Appendix-I species (Secretariat)	Rejected with 16 in favor, 47 against, and 8 abstentions
35. International expert workshop on non-detriment findings (Mexico)	Approved the draft decisions contained in the Annex to the document; the workshop will be held in Mexico at the end of 2007 or beginning of 2008
36. Management of annual export quotas (Export Quota Working Group)	Adopted (63 votes in favor, 19 against, 7 abstentions) amendments to RC 11.17 (Rev. CoP13) (National reports) and RC 12.3 (Rev. CoP13) (Permits and certificates), and adopted a resolution on Management of nationally established export quotas The Parties adopted (67 votes in favor, eight against, and six abstentions) text stating that if the Secretariat cannot

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	resolve a concern with a quota through consultation, “ <i>the Secretariat shall publish the quota with an annotation to indicate its concerns and the issue will be taken up through one of the existing CITES procedures</i> ”; adopted (56 votes in favor, 22 against and 6 abstentions) text that states that a non-detriment finding should be “ <i>reviewed annually</i> ”
37. Appendix-I species subject to export quotas	<u>Leopard (Mozambique)</u> : Adopted an increase in the quota from 60 to 120 <u>Leopard (Uganda)</u> : Adopted the proposal as amended (for 28 specimens) <u>Repeal of black rhinoceros quotas for Namibia and South Africa (Kenya)</u> : Rejected with 15 in favor, 65 against, and 11 abstentions
38. Production systems for specimens of CITES-listed species (Animals and Plants Committees)	Adopted a decision to extend the joint working group’s mandate beyond CoP14 via a decision that, <i>inter alia</i> , directs the Animals and Plants Committees to review the use of the R code, review literature on wildlife management for information on management systems that resemble ranching, and propose a definition of source code R
39. Purpose-of-transaction codes on CITES permits and certificates (United States of America)	Adopted a decision that, <i>inter alia</i> , directs the Standing Committee to establish an intersessional working group to review the use of Purpose-of-Transaction Codes by Parties and to submit a report to SC58
40. Electronic permitting (Secretariat / Working Group on Information Technologies and Electronic Systems)	Adopted decisions that, <i>inter alia</i> , direct the Standing Committee to extend the mandate of the Working Group on Information Technologies and Electronic Systems and direct the Secretariat to prepare a CD-ROM and Web-based toolkit on electronic permitting systems for consideration at SC57
41. Transport of live specimens (Animals Committee)	Adopted a revision of RC 10.21 (Transport of live animals), expanding the resolution to cover all live specimens; adopted a decision addressing transport of live animals by the Parties by means other than air and a second decision directing the Animals Committee to, <i>inter alia</i> , request copies of Parties’ national legislation on transport of live animals via a Notification to the Parties, issued by the Secretariat, before AC24
42. Physical inspection of timber shipments (Germany)	Adopted decisions directing the Secretariat to establish an electronic working group to, <i>inter alia</i> , compile identification and inspection procedures for timber in order to identify best practices and capacity-building measures that may be implemented, and submit these for consideration to SC58
43. Effectiveness of the CITES universal crocodylian tagging system (United States of America)	<i>(Combined with agenda item 46)</i> Adopted decisions that direct the Standing Committee to establish a working group to review the implementation and effectiveness of the Universal Tagging System and the trade in small crocodylian leather goods, and to report to SC58
44. Identification Manual (Secretariat)	Noted
45. Personal and household effects (Working Group on Personal and Household Effects)	Adopted amendments to RC 13.7 on Control of trade in personal and household effects, including, <i>inter alia</i> , the addition of guidelines for amending the list of personal and household effects of Appendix-II species with quantitative limits; adopted a decision directing the Standing Committee to extend the operation of its Working Group on Personal and Household Effects until CoP15
46. Trade in some crocodylian specimens (Germany)	<i>(Combined with agenda item 43)</i> Adopted decisions that direct the Standing Committee to establish a working group to review the implementation and effectiveness of the Universal Tagging System and the trade in small crocodylian leather goods, and to report to SC58
47. Applications to register operations that breed Appendix-I animal species in captivity for commercial purposes (Secretariat)	Registration of the captive-breeding operation in the Philippines for eight Psittacine taxa listed in Appendix I was agreed in Committee I (50 in favor, 22 against, 16 abstentions) but rejected in Plenary (63 in favor, 32 against)
48. Relationship between <i>ex situ</i> production and <i>in situ</i> conservation: report of the Standing Committee (Clearing House)	Rejected the proposal to conduct a study with 48 in favor, 31 against, five abstentions
49. Reservations regarding species transferred from one Appendix to another (Secretariat)	Adopted by consensus the proposed amendments to RC 4.25 (Reservations)

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50. Great apes (Secretariat)	Noted the document, its Annexes, and the oral updates provided by the Secretariat; endorsed the proposal to conduct a mission to Egypt to assist in addressing reported illegal trade
51. Cetaceans (Japan)	Rejected with 26 votes in favor, 54 against, and 13 abstentions
52. Asian big cats (Secretariat)	Noted the document and its Annexes; adopted decisions that, <i>inter alia</i> , direct the Secretariat to convene a tiger trade enforcement meeting within 12 months and direct Parties with intensive operations breeding tigers on a commercial scale to implement measures to restrict the captive populations to a level supportive only to conserving the species in the wild, stating that “ <i>tigers should not be bred for trade in their parts and derivatives</i> ”
53. Elephants	<p><u>53.1 Trade in elephant specimens (Secretariat)</u>: Adopted the Addendum for the renewal of the <i>Action plan for the control of trade in African elephant ivory</i></p> <p><u>53.2 Monitoring of illegal trade in ivory and other elephant specimens (TRAFFIC)</u>: Noted a report on ETIS</p> <p><u>53.3 Monitoring of illegal hunting in elephant range States (Secretariat)</u>: Noted a report on MIKE</p> <p><u>53.4 Illegal ivory trade and control of internal markets (Kenya and Mali)</u>: Withdrawn</p>
54. Rhinoceroses (Secretariat)	Adopted amendments to RC 9.14 (Rev. CoP13) (Conservation of and trade in African and Asian rhinoceroses); adopted the proposed draft decisions that were amended to require the Secretariat to report on progress at SC57 and SC58
55. Tibetan antelope (Secretariat)	Noted the report
56. Saiga antelope (Secretariat)	Adopted draft decisions that, <i>inter alia</i> , direct to the Parties to manage and control trade in saiga antelope and direct the Secretariat to report on implementation at CoP15; the role of the Standing Committee's involvement on this issue was discontinued
57. Tortoises and freshwater turtles (Secretariat)	Adopted decisions that, <i>inter alia</i> , direct the Secretariat to contract the IUCN Tortoise and Freshwater Turtle Specialist Group to undertake a study to assist in the implementation of RC 11.9 (Conservation of and trade in tortoises and freshwater turtles); the Animals Committee shall review the study and make recommendations to CoP15
58. Hawksbill turtle (Secretariat)	Adopted decisions directing the Secretariat and Parties to continue their work on the issue and to carry out a meeting on hawksbill turtles in the wider Caribbean region prior to CoP15
59. Sharks	<p><u>59.1 Report of the Animals Committee / 59.2 Additional conservation measures (Australia)</u>: Adopted decisions regarding implementation and effectiveness on shark listings; commodity codes; species-specific reviews and recommendations; a workshop on South American freshwater stingrays (<i>Potamotrygonidae</i>); capacity building; the FAO International Plan of Action for the Conservation and Management of Sharks; and illegal, unregulated and unreported (IUU) fishing</p> <p><u>59.3 Regarding the porbeagle <i>Lamna nasus</i> and the spiny dogfish <i>Squalus acanthias</i> (Germany)</u>: Amended draft decisions were rejected, with 58 in favor, 30 opposed and 16 abstentions</p>
60. Sturgeons and paddlefish (Working Group on Sturgeons / Russian Federation)	Noted report of the Secretariat and adopted draft decisions and amendments to RC 12.7 (Rev. CoP13) (Conservation of and trade in sturgeons and paddlefish); the amendments to RC 12.7 (Rev. CoP13) diminish the oversight capacity of the CITES Secretariat in setting export quotas and direct the Secretariat to report to the Animals Committee on the activities related to conservation of and trade in sturgeon
61. Toothfish: Report of CCAMLR	Noted report from CCAMLR; information document submitted by Australia was withdrawn
62. Sea cucumbers (Animals Committee)	Adopted amended draft decisions with 77 in favor, four against, and 10 abstentions; the decisions, <i>inter alia</i> , direct the Secretariat to communicate the Animals Committee recommendations on management of the species to the Parties, and direct the Animals Committee to evaluate the outcomes of the FAO Workshop on Sustainable Use and Management of Sea Cucumber Fisheries to be conducted in 2007
63. Trade in traditional medicines (Australia)	Adopted amendments to RC 10.19 (Rev. CoP12) (Traditional medicines) that, <i>inter alia</i> , urge Parties to ensure that

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	traditional medicines containing Appendix-I ingredients are not exported by tourists and visitors unless accompanied by appropriate documentation, and acknowledge that <i>"it may be necessary for Parties to apply stricter domestic measures in relation to personal and household effects"</i>
64. Bigleaf mahogany: Report of the Working Group	Adopted decisions, including the <i>Action plan for the control of international trade in bigleaf mahogany</i> (<i>Swietenia macrophylla</i>), and recommendations regarding non-detriment findings for tree species and annotations to tree species included in Appendices II and III; the Action Plan, <i>inter alia</i> , directs the Plants Committee to consider whether the species should be included in the Review of Significant Trade at PC17 and the Standing Committee to discuss issues of compliance and enforcement at SC57, SC58 and SC59
65. Report of the Central Africa Bushmeat Working Group	Adopted decisions to extend the work of the Working Group
66. Periodic review of the Appendices (Animals and Plants Committees)	Adopted a draft resolution on conducting the periodic review, including a step-by-step protocol for the assessment of taxa for consideration
67. Use of annotations for plants in Appendix II and animals and plants in Appendix III (United States of America)	Adopted revisions to RC 11.21 (Rev. CoP13) (Use of annotations in Appendices I and II) to clarify that <i>"for plant species included in Appendix II, the absence of an annotation relating to that species shall indicate that all readily recognizable parts and derivatives are included"</i> ; adopted revisions to RC 9.25 (Rev.) (Inclusion of species in Appendix III) to clarify that <i>"the inclusion of a species in Appendix III without an annotation shall indicate that all readily recognizable parts and derivatives are included in the Appendix"</i>
68. Proposals to amend Appendices I and II	(For results, see http://www.ssn.org/Meetings/cop/cop14/Other/CoP14_results.pdf)
69. Determination of the time and venue of the next regular meeting of the Conference of the Parties	The next COP will take place in Doha, Qatar, in 2010

* *Amendments shall be adopted by a two-thirds majority of Parties present and voting* (Article XV of the Convention)

RC = Resolution Conf.



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